

Linear Kernels on Graphs Excluding Topological Minors [★] ^{★★}

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Abstract. We show that problems that have finite integer index and satisfy a requirement we call *treewidth-bounding* admit linear kernels on the class of H -topological-minor free graphs, for an arbitrary fixed graph H . This builds on earlier results by Bodlaender et al. on graphs of bounded genus [2] and by Fomin et al. on H -minor-free graphs [9]. Our framework encompasses several problems, the prominent ones being CHORDAL VERTEX DELETION, FEEDBACK VERTEX SET and EDGE DOMINATING SET.

1 Introduction

Parameterized complexity deals with algorithms for decision problems whose instances consist of a secondary measurement known as the *parameter*. A major goal in parameterized complexity is to investigate whether a problem with parameter k admits an algorithm with running time $f(k) \cdot n^{O(1)}$. Parameterized problems that admit such algorithms are called *fixed-parameter tractable* and the class of all such problems is denoted FPT.

A closely related concept is that of *kernelization*. A kernelization algorithm for a parameterized problem takes as instance (x, k) of the problem and, in time polynomial in $|x| + k$, outputs an equivalent instance (x', k') such that $|x'|, k' \leq g(k)$, for some function g . The function g is called the *size of the kernel* and may be viewed as a measure of the “compressibility” of a problem using polynomial-time preprocessing rules. By now it is a folklore result in the area that a decidable problem is fixed-parameter tractable iff it has a kernelization algorithm. What makes kernelization interesting is that many problems have a small kernel, meaning that the function g is polynomial or some times even linear.

An important research direction is to investigate the parameterized complexity of problems that are W[1]-hard¹ in general in special graph classes. It turns out (not surprisingly) that several W[1]-hard problems are not only in

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^{★★} A simpler proof of the results of this paper along with additional results appears in <http://arxiv.org/abs/1207.0835>

¹ The counterpart of NP-hard in parameterized complexity.

FPT in special graph classes but admit linear kernels. A celebrated result is the linear kernel for DOMINATING SET in planar graphs by Alber, Fellows, and Niedermeier [1]. This paper prompted an explosion of research papers on linear kernels in planar graphs, including DOMINATING SET [1,7], FEEDBACK VERTEX SET [3], CYCLE PACKING [4], INDUCED MATCHING [19,15], FULL-DEGREE SPANNING TREE [14] and CONNECTED DOMINATING SET [17].

Guo and Niedermeier showed that several problems that admit a “distance property” admit linear kernels in planar graphs [13]. This result was subsumed by that of Bodlaender, Fomin, Lokshtanov, Penninkx, Saurabh and Thilikos in [2] who provided a meta-theorem for problems to have a linear kernel on graphs of bounded genus (a strictly larger class than planar graphs). Later Fomin, Lokshtanov, Saurabh and Thilikos in [9] extended these results for bidimensional problems to an even larger graph class, namely, H -minor-free and apex-minor-free graphs. The last two papers have provided deep insight into the circumstances under which problems admit linear (and polynomial) kernels in sparse graphs. The property of *finite integer index*, introduced by Bodlaender and van Antwerpen-de Fluiter [5], has emerged to be of central importance to the aforementioned results: it guarantees the existence of small gadgets that “simulate” large portions of the instance satisfying certain properties. Finally note that a recent result by Fomin, Lokshtanov, Saurabh and Thilikos now provides a linear kernel for DOMINATING SET and CONNECTED DOMINATING SET on H -minor-free graphs [10].

In this paper, we partially extend the results of Fomin et al. in [9] by giving a meta-result for linear kernels on H -topological-minor-free graphs. More specifically, we show that any graph problem that has *finite integer index* and is *treewidth-bounding* has a linear kernel in H -topological-minor-free graphs. Informally, we call a problem *treewidth-bounding* if there exists a vertex set of small size whose deletion reduces the treewidth of the remaining graph to within a constant.

It is worthwhile to note that Marx and Grohe have recently developed a decomposition theorem for H -topological-minor-free graphs along the same lines as the one for H -minor-free graphs [12]. As the latter proved to be extremely useful in designing linear kernels for H -minor-free graphs, it would be very interesting to see how one can apply this structure theorem to obtain kernels on graphs excluding a fixed topological minor. Note, however, that for the results of this paper we do not make use of this structure theorem.

The rest of the paper is organized as follows: Section 2 contains the basic definitions and some important aspects of H -topological-minor-free graphs as well as a key lemma used extensively in the proof of the main result. In Section 3 we present our main result, its implications in Section 4. Finally Section 5 contains the conclusion and some open questions.

2 Preliminaries

We use standard graph-theoretic notation (see [8] for any undefined terminology). Let $e = xy$ be an edge in a graph $G = (V, E)$. By G/e , we denote the graph obtained by *contracting* the edge e into a new vertex v_e , and making it adjacent to all the former neighbors of x and y . A *minor* of G is a graph obtained from a subgraph of G by contracting zero or more edges. A family \mathcal{F} of graphs is said to be *minor-closed* if for all $G \in \mathcal{F}$, every minor of G is contained in \mathcal{F} . A graph G is said to be *H -minor-free* if no minor of G is isomorphic to H . The class of H -minor-free graphs can be easily seen to be minor-closed. Note that if G is H -minor-free then it is also K_r -minor-free, where $r = |V(H)|$. Therefore no H -minor-free graph contains a clique with $|V(H)|$ or more vertices. If a chordal graph G is H -minor-free, then every bag of the natural tree decomposition of G is a maximal clique of size at most r .

Given a graph $G = (V, E)$, a *tree-decomposition* of G is a pair (T, \mathcal{X}) , where T is a tree and $\mathcal{X} = \{X_i \subseteq V(G) \mid i \in V(T)\}$ is a collection of vertex sets of G with one set for each node of the tree T such that the following hold:

1. $\bigcup_{i \in V(T)} X_i = V(G)$;
2. for every edge $e = uv$ in G , there exists $i \in V(T)$ such that $u, v \in X_i$;
3. for each vertex $u \in V(G)$, the set of nodes $\{i \in V(T) \mid u \in X_i\}$ induces a subtree.

The vertices of the tree T are usually referred to as *nodes* and the sets X_i are called *bags*. The *width* of a tree-decomposition is the size of the largest bag minus one. The *treewidth* of G , denoted $\text{tw}(G)$, is the smallest width of a tree-decomposition of G .

Given a subtree $T' \subseteq T$ of a tree-decomposition $\mathcal{T} = (T, \mathcal{X})$ of a graph G , the *bags of T'* refer to the bags in \mathcal{X} that correspond to the nodes in T' . We let $G[T']$ denote the graph induced by the vertices that occur in the bags of T' .

2.1 Protrusions, t -Boundaried Graphs and Finite Integer Index

In this subsection, we restate the definitions and results required for using the protrusion machinery used extensively in [2,9].

Given a graph $G = (V, E)$ and a set $W \subseteq V$, we define $\partial_G(W)$ as the set of vertices in W that have a neighbor in $V \setminus W$. For a set $W \subseteq V$ the neighborhood of W is $N_G(W) = \partial_G(V \setminus W)$. Subscripts are omitted when it is clear which graph is being referred to.

Definition 1 (r -protrusion [2]). *Given a graph $G = (V, E)$, we say that a set $W \subseteq V$ is an r -protrusion of G if $|\partial(W)| \leq r$ and $\text{tw}(G[W]) \leq r$.*

If W is an r -protrusion, the vertex set $W' = W \setminus \partial(W)$ is the *restricted protrusion* of W .

A *t -boundaried graph* is a graph $G = (V, E)$ with t distinguished vertices labeled 1 through t . The set of labeled vertices is denoted by $\partial(G)$ and is called

the *boundary* or the *terminals* of G . For t -boundaried graphs G_1 and G_2 , we let $G_1 \oplus G_2$ denote the graph obtained by taking the disjoint union of G_1 and G_2 and identifying each vertex in $\partial(G_1)$ with the vertex in $\partial(G_2)$ with the same label. This operation is called *gluing*.

Definition 2 (Replacement). *Let $G = (V, E)$ be a graph with an r -protrusion W . Let W' be the restricted protrusion of W and let G_1 be a $|\partial(W)|$ -boundaried graph. Then replacing $G[W]$ by G_1 corresponds to changing G into $G[V \setminus W'] \oplus G_1$.*

We now restate the definition of one of the most important notions used in this paper.

Definition 3 (Finite Integer Index [5]). *Let Π be a parameterized problem on a graph class \mathcal{G} and let G_1 and G_2 be two t -boundaried graphs in \mathcal{G} . We say that $G_1 \equiv_{\Pi, t} G_2$ if there exists a constant c (that depends on G_1 and G_2) such that for all t -boundaried graphs G_3 and for all k :*

1. $G_1 \oplus G_3 \in \mathcal{G}$ iff $G_2 \oplus G_3 \in \mathcal{G}$;
2. $(G_1 \oplus G_3, k) \in \Pi$ iff $(G_2 \oplus G_3, k + c) \in \Pi$.

We say that the problem Π has finite integer index in the class \mathcal{G} iff for every integer t the equivalence relation $\equiv_{\Pi, t}$ has finite index.

To test whether a parameterized problem has finite integer index on a graph class, one can use the sufficiency test introduced in [9] called *strong monotonicity*. We restate its definition for parameterized vertex deletion problems. An instance of a parameterized vertex deletion problem consists of a graph G and a parameter k , and the question is whether there exists a vertex set of size at most k whose deletion results in a graph with some pre-specified property. Fix a vertex-deletion parameterized problem Π (analogous for an edge-deletion problem). Given t -boundaried graphs G, G' and $X' \subseteq V(G')$, we let $\zeta_G(G', X')$ denote the size of the smallest vertex set $X \subseteq V(G)$ such that $X \cup X'$ is a solution to $G \oplus G'$ for the problem Π . If no such X exists, we define $\zeta_G(G', X') = \infty$.

Definition 4. *A vertex deletion parameterized problem Π is strongly monotone if there exists a function $f: \mathbf{N} \rightarrow \mathbf{N}$ such that the following holds. For every t -boundaried graph $G = (V, E)$ there is a subset $X \subseteq V$ such that for every t -boundaried graph $G' = (V', E')$ and $X' \subseteq V'$ such that $\zeta_G(G', X')$ is finite, $X \cup X'$ is a solution to $(G \oplus G')$ and we have $|X| \leq \zeta_G(G', X') + f(t)$.*

Informally, a parameterized problem is strongly monotone if for every t -boundaried graph G , a local solution for G has nearly the same size as a global solution for $G \oplus G'$ restricted to G for every t -boundaried graph G' .

It turns out that any graph-theoretic optimization problem where the objective is to find a maximum or minimum sized vertex or edge set satisfying a (counting) MSO-predicate has finite integer index if it is strongly monotone.

Proposition 1. ([2], see Lemma 12) *Every strongly monotone p -MIN-CMSO and p -MAX-CMSO problem has finite integer index.*

We adapt the notion of *quasi-compact* problems introduced in [2] for graphs of bounded genus to that of *treewidth bounding* problems by removing the radial distance, which is not applicable to the more general class of graphs excluding a fixed topological minor.

Definition 5 (Treewidth Bounding). *A parameterized graph problem Π is called treewidth bounding if for every $(G, k) \in \Pi$ it holds that there exists a set $X \subseteq V(G)$ such that*

1. $|X| \leq ck$, and
2. $\text{tw}(G - X) \leq t$,

where c, t are constants that depends only on Π . We call a problem treewidth bounding on a graph class \mathcal{G} if the above property holds under the restriction that $G \in \mathcal{G}$.

For problems whose solution is a vertex subset, the set X will be the solution set of (G, k) . For simplicity we will call the set X *the* solution in the following.

2.2 Properties of H -topological-minor-free graphs

In this section we list some properties of H -topological-minor-free graphs that we use in the proofs to follow. We use r to denote $|V(H)|$.

The first property states that graphs that exclude a fixed graph H as a topological minor are sparse in some sense.

Property 1 ([6],[16]) *The average degree d_{av} in an H -topological-minor-free graph is bounded by $d_{av} < \beta r^2$ for some $\beta \leq 10$.*

As a corollary, a graph with average degree larger than βr^2 contains H as a topological minor.

It is clear that if a graph excludes H as a topological minor, then it does not have K_r as a topological minor. What is also true is that the *total* number of cliques (not necessarily maximal) is linear in the number of vertices.

Property 2 ([11]) *There is a constant $\tau < 4.51$ such that, for $r > 2$, every n -vertex graph with no K_r topological minor has at most $2^{\tau \log r} n$ cliques.*

Definition 6. *Let G be a graph and $X, Y \subseteq V(G)$ two disjoint vertex sets of G . Then we define the degree of Y with respect to X as*

$$D_X(Y) = |\{u \in X \mid v \in Y : uv \in E(G)\}|.$$

We will sometimes be sloppy with our notation and, for a subgraph G' of G , write $D_X(G')$ instead of $D_X(V(G'))$.

One technique frequently used in the proofs that follow is embodied in the proof of the following lemma.

Lemma 1. *Let G be an H -topological-minor-free graph, let $X \subseteq V(G)$, and C_1, \dots, C_l be pairwise vertex-disjoint connected subgraphs of $G - X$ such that $D_X(C_i) \geq r \geq 2$. Then $l \leq \frac{1}{2}\beta r^2|X|$.*

Proof. We construct a topological minor $G' \preceq_{TM} G$ such that each edge in G' corresponds to a subgraph C_i . The construction works as follows. Delete all edges in the graph $G[X]$. For each connected subgraph C_i , choose distinct vertices $x, y \in X$ such that xy is not an edge and both x and y are adjacent to u and v in C_i , respectively. We explicitly allow the case $u = v$.

Next choose a path P_{uv} from u to v in C_i and delete all vertices of C_i save those from P_{uv} . Finally contract the path P_{uv} to an edge between x and y . This sequence of operations clearly produces a topological minor since the only edges that were contracted had at least one endpoint with degree at most two.

Since topological minor containment is a transitive, the graph G' obtained by “contracting each connected subgraph C_i into an edge” is also H -topological-minor-free. Observe that since we assumed that $D_X(C_i) \geq r \geq 2$, for each component C_i , there exists distinct vertices $x, y \in X$ that are adjacent to C_i and which do not yet have an edge between them. If this were not the case, the neighbors of C_i in X form a clique of size at least r . We would then have an r -clique in a topological-minor of G , contradicting the fact that it is H -topological-minor-free. It now follows that the number t of subgraphs C_i is bounded by the number of edges in G' . By Property 1, the number of edges is linear in the size of X and we obtain the following bound:

$$l \leq |E(G')| \leq \frac{1}{2}\beta r^2|V(G')| = \frac{1}{2}\beta r^2|X|.$$

■

3 Main result

In this section we prove our main result.

The Main Theorem. *Fix a graph H . Let Π be a parameterized graph-theoretic problem on the class of H -topological-minor-free graphs that has finite integer index and is treewidth-bounding. Then Π admits a linear kernel.*

Let (G, k) be a yes-instance of Π , where G is H -topological-minor-free. Since we assumed that Π is treewidth-bounding there exists $X \subseteq V(G)$ such that $\text{tw}(G - X) \leq t$, where t is a constant that depends only on Π . Since the problem Π is assumed to have finite integer index, denote by $\varpi(i)$ the size of the largest representative of the equivalence relation $\equiv_{\Pi, i}$, where the representatives are chosen such that they are smallest possible. We use only one reduction rule which is stated below.

Reduction Rule (Protrusion Reduction Rule). *Let $W \subseteq V(G)$ be a protrusion with $|\partial(W)| \leq 2t + r$ such that the restricted protrusion $|W'|$ has size*

strictly more than $\varpi(2t + r)$. Let G' be the representative of $G[W]$ in the equivalence relation $\equiv_{\Pi, |\partial(W)|}$. Replace G by $G[V \setminus W'] \oplus G'$ and the parameter k by $k - c$.

Here c is the constant in the definition of finite integer index (see Definition 3) that depends on G' and $G[W]$.

From now on whenever we talk about an instance (G, k) of the problem Π , we assume that it is reduced w.r.t. our only reduction rule. In particular, G does not contain a $(2t + r)$ -protrusion of size strictly more than $\varpi(2t + r)$.

Definition 7. Let (G, k) be a yes-instance of Π and let $X \subseteq V(G)$ be such that $\mathbf{tw}(G - X) \leq t$, where t is a constant. Let \mathcal{C}_S and \mathcal{C}_L denote, respectively, the set of all connected components C of $G - X$ such that $D_X(C) < r$ and $D_X(C) \geq r$. Call the components of \mathcal{C}_S “small” and those of \mathcal{C}_L “large.”

3.1 Bounding the size of small components

We first bound the total number of vertices in all components in \mathcal{C}_S .

Lemma 2. The total number of vertices in all components in \mathcal{C}_S is bounded from above by $\varpi(r)(2^{\tau r \log r} + \beta r^2)k$.

Proof. First note that for each $C \in \mathcal{C}_S$, the set $Y = N(C) \cap X$ of its neighbors in X is a separator of C in G of size at most r . Therefore the total number of vertices in all components separated by Y in G is at most $\varpi(r)$, where $\varpi(r)$ is the size of the largest representative of the equivalence relation $\equiv_{\Pi, r}$. Thus it is sufficient to show that the number of subsets of X that are separators of components in \mathcal{C}_S is bounded.

Using the technique outlined in the proof of Lemma 1, we contract the components \mathcal{C}_S greedily into edges in X . Repeat the following operations for as long as possible. Pick a component $C \in \mathcal{C}_S$ arbitrarily and choose two distinct vertices $u, v \in N(C) \cap X$ such that uv is not an edge; create a new edge uv , and delete C from the graph. If there are components C which cannot be contracted into edges in this fashion, then it follows that the separators $N(C) \cap X$ of these components are cliques in X . As the subgraph G_X induced by X after these operations is a topological minor of G , it must be that G_X is H -topological minor free. Hence by Property 2, G_X has at most $2^{\tau r \log r}k$ cliques. The number of vertices in all components of \mathcal{C}_S separated by such a clique is, as noted before, $\varpi(r)$. Moreover each component that is contracted to an edge also has at most $\varpi(r)$ vertices and, by Property 1, G_X has at most $\beta r^2 k$ edges. Hence the total number of vertices in all components of \mathcal{C}_S is bounded from above by $\varpi(r)(\beta r^2 + 2^{\tau r \log r})k$. ■

3.2 Bounding the size of the large components

Proving that the total number of vertices in all components of \mathcal{C}_L is linear in k is more involved. As a first step, we use Lemma 1 to show that the number of

components in \mathcal{C}_L is linear in k . To bound the total number of vertices in \mathcal{C}_L as a linear function of k , we propose a technique of decomposing components $C \in \mathcal{C}_L$ into connected subgraphs each of bounded size but with a “large” number of neighbors in the set X . The following structure plays a crucial role in bounding the size of $G - X$.

Definition 8 (Scrubs and Twigs). *Let $G = (V, E)$ be a graph and let $X \subseteq V$ be such that $\mathbf{tw}(G - X) \leq t$, for some constant t . A scrub \mathcal{S} in G is a pair (R, \mathcal{W}) , where $R \subseteq V \setminus X$ and \mathcal{W} is a maximal family of vertex-disjoint sets $W_1, \dots, W_l \subseteq V \setminus (X \cup R)$ each of which induces a connected subgraph and such that the following conditions hold:*

1. *for $1 \leq i \leq l$, $D_X(W_i) < r$;*
2. *$R \cup W_1 \cup \dots \cup W_l$ induces a connected subgraph in $G - X$.*

We call R the root and \mathcal{W} the twigs of the scrub \mathcal{S} . The size of \mathcal{S} is defined as $|\mathcal{S}| = |R \cup W_1 \cup \dots \cup W_l|$.

In what follows we let $\mathcal{T}_C = (T_C, \mathcal{X}_C)$ denote a tree-decomposition of $C \in \mathcal{C}_L$ that is *rooted* at some arbitrary bag of degree at least two in the decomposition. We define \mathcal{F} to be the “forest-decomposition” obtained by taking the disjoint union of all tree-decompositions, that is,

$$\mathcal{F} := \left(\bigcup_{C \in \mathcal{C}_L} T_C, \bigcup_{C \in \mathcal{C}_L} \mathcal{X}_C \right) = (F, \mathcal{X}).$$

We will employ a marking algorithm that marks bags of \mathcal{F} to demonstrate that the total number of vertices in all the components \mathcal{C}_L is indeed bounded in a reduced instance. We stress however that this algorithm is not efficient, and neither does it have to be, since it is only used to show that the kernel size is small. In what follows, we let $\mathcal{M} \subseteq \mathcal{X}$ denote the set of *bags* that have already been marked by the algorithm and $V(\mathcal{M})$ to be the set of all *vertices of the graph* which occur in at least one marked bag. Call a subtree of some tree-decomposition in \mathcal{F} *marked* if it contains at least one marked bag and *unmarked* otherwise. Note that an unmarked subtree T' can contain marked vertices of G in its bags, as these vertices could occur in some other marked bag.

The marking algorithm works as follows.

1. Set $\mathcal{M} := \emptyset$.
2. Mark bags B of the forest decomposition \mathcal{F} which induce a scrub $\mathcal{S} = (B, \mathcal{W})$ in the graph $G - (X \cup V(\mathcal{M}))$ that satisfies the following conditions:
 - $|\mathcal{S}| > \varpi(t + r)$;
 - $D_X(\mathcal{S}) \geq r$.
 Set $\mathcal{M} = \mathcal{M} \cup \{B\}$.
3. Mark join bags J that are parents of unmarked subtrees that induce at least one connected component in $G - (X \cup J)$ with at least r neighbors in X . Add each such bag to \mathcal{M} .

4. Iteratively mark the least common ancestor (join) bag of two bags that have already been marked and add it to \mathcal{M} .

We first point out some features of the marking algorithm.

Lemma 3. *If T' is a subtree of a tree $T \in \mathcal{F}$ such that each bag in T' is unmarked, then at most two of the neighboring bags of T' in $T - V(T')$ are marked.*

Proof. Suppose that T' is unmarked but has at least three marked bags as neighbors in $T - V(T')$. Let \mathcal{P} be the shortest path from the root of T to T' . If the root of T happens to be in T' , then \mathcal{P} consists of only the root bag. Now there are at least two marked bags B_1, B_2 in $T - V(T')$ that are neighbors of T' that are *not* on \mathcal{P} . Clearly one of the bags of T' must be the least common ancestor of B_1 and B_2 and the algorithm, in Step 4, would then have marked this bag. This contradicts the hypothesis that T' has no marked bags. ■

Lemma 4. *The total number of bags marked by the algorithm is at most $2\beta r^2 k$ and therefore $|V(\mathcal{M})| \leq 2\beta r^2 kt$.*

Proof. Since $\text{tw}(G - X) \leq t$, each bag of the tree-decomposition has size at most t (we assume an optimal tree-decomposition). To prove the lemma, it is sufficient to bound the number of bags marked by the algorithm in Steps 2, 3, and 4.

The scrubs that are marked in Step 2 are vertex-disjoint and since each scrub “sees” at least r vertices in the set X , by Lemma 1, the number of such scrubs is at most $\beta r^2 k/2$. In Step 3, the connected components that are considered are vertex-disjoint and hence the bound of Lemma 1 applies again. Finally in Step 4, the number of marked bags doubles in the worst case. This proves the bound on the size of $V(\mathcal{M})$. ■

Lemma 4 showed that the total number of vertices in marked bags is linearly bounded in k . We now go on to show that the total number of vertices in *unmarked bags* is also linear in k . To achieve this goal, we first consider the total size of the scrubs seen by the algorithm in Step 2. Suppose that in this step, the algorithm considers the scrubs $\mathcal{S}_1, \dots, \mathcal{S}_l$ in that order while marking bags.

Lemma 5. *The total number of vertices in the scrubs $\mathcal{S}_1, \dots, \mathcal{S}_l$ is bounded from above by $\beta^2 r^4 t \varpi(t + r)k$.*

Proof. Let $\mathcal{S}_i = (R_i, \mathcal{W}_i)$ and consider a twig $W \in \mathcal{W}_i$ for some $1 \leq i \leq l$. By the definition of a scrub, $D_X(W) < r$, and hence $G[W]$ is separated from the rest of the graph by the set $R_i \cup (N(W) \cap X)$ which is of size at most $t + r$. It follows that in a reduced instance $|W| \leq \varpi(t + r)$. In fact, the total number of vertices in *all* twigs of a scrub \mathcal{S}_i that are connected to the same set of vertices in X is bounded $\varpi(t + r)$ —these twigs share a common separator.

Also note that the scrubs \mathcal{S}_i are vertex-disjoint and therefore by Lemma 1 it follows that $l \leq \beta r^2 k/2$. Therefore in order to bound the total number of

vertices in all the scrubs, it is sufficient to bound the total number of twigs. Let $S = \bigcup_i R_i$. Construct a bipartite graph G' from G with bipartition $S \uplus X$ and edge set E_{SX} as follows:

1. Delete all vertices of G that are *not* in either X nor in any scrub \mathcal{S}_i .
2. Delete all edges inside the root R_i of scrub \mathcal{S}_i , for $1 \leq i \leq l$.
3. Delete all twigs $W \in \mathcal{W}_i$ that have no neighbors in X .
4. For all twigs in \mathcal{W}_i that are connected to the same set in X , remove all but one.
5. For each twig $W \in \mathcal{W}_i$, choose arbitrary vertices $u \in R_i \cap N(W)$ and $v \in X \cap N(W)$. Remove W and add the edge uv to E_{SX} .

Now $|S| \leq l \cdot t \leq \beta r^2 kt/2$. For each scrub \mathcal{S}_i , the number of vertices in the twigs removed in Step 3 is at most $\varpi(t)$ and hence the total number of vertices removed in this step over all scrubs is bounded from above by $\beta r^2 k \varpi(t)/2$. For each scrub \mathcal{S}_i and each subset $X' \subseteq X$, the number of vertices in the twigs removed in Step 4 is bounded from above by $\varpi(t+r)$.

The bipartite graph $G' = (S \uplus X, E_{SX})$ is a topological minor of G , and since G is H -topological-minor-free, so is G' . By Property 1, the number of edges in G' is at most

$$|E_{SX}| \leq \beta r^2 (|S| + |X|) \leq \beta r^2 \left(\frac{1}{2} \beta r^2 kt + k \right).$$

The total number of vertices removed in Step 4 is therefore $\beta r^2 (\beta r^2 t/2 + 1) \cdot \varpi(t+r)k$. It follows that the total number of vertices in the scrubs $\mathcal{S}_1, \dots, \mathcal{S}_l$ is bounded from above by

$$\begin{aligned} & \frac{1}{2} \beta r^2 k \varpi(t) + \beta r^2 \left(\frac{1}{2} \beta r^2 kt + k \right) \cdot \varpi(t+r) \\ & \leq \beta r^2 k \left(\frac{\varpi(t)}{2} + \left(\frac{1}{2} \beta r^2 t + 1 \right) \cdot \varpi(t+r) \right) \\ & \leq \beta^2 r^4 t \varpi(t+r)k. \end{aligned}$$

■

At this point, we have accounted for all vertices that occur in a marked bag or a scrub $\mathcal{S}_1, \dots, \mathcal{S}_l$ seen by the algorithm in Step 2. We now consider the forest-decomposition \mathcal{F}' obtained from \mathcal{F} by removing all vertices that occur in marked bags. This corresponds to a forest-decomposition of the graph $G - (X \cup V(\mathcal{M}))$. Note that we may not remove all the scrub vertices in this process. In order account for the fact that all vertices in the scrubs $\mathcal{S}_1, \dots, \mathcal{S}_l$ have been counted, we simplify the forest-decomposition \mathcal{F}' even further. Delete a tree $T \in \mathcal{F}'$ if all its bags *only* contain scrub vertices from $\mathcal{S}_1, \dots, \mathcal{S}_l$.

The trees in the forest-decomposition can be partitioned into two classes: those that have at most $r-1$ neighbors in X and those that have at least r neighbors. This motivates us to define $\mathcal{T}_{\text{small}}$ and $\mathcal{T}_{\text{large}}$. Define $\mathcal{T}_{\text{small}}$ to be the set of trees $T \in \mathcal{F}'$ such that $D_X(G[T]) \leq r-1$; $\mathcal{T}_{\text{large}}$ is the set of trees $T \in \mathcal{F}'$ such that $D_X(G[T]) \geq r$. By Lemma 3, at most two neighboring bags of a tree $T \in \mathcal{T}_{\text{small}} \cup \mathcal{T}_{\text{large}}$ are marked.

Lemma 6. *Let B be a marked bag in the forest-decomposition \mathcal{F}' and let B_1, \dots, B_p be its neighbors such that the subtrees T_1, \dots, T_p rooted at these bags satisfy $D_X(G[T_i]) < r$, for $1 \leq i \leq p$. Then the total number of vertices in the bags of T_1, \dots, T_p that do not appear in any of the scrubs $\mathcal{S}_1, \dots, \mathcal{S}_l$ is bounded by $\varpi(t+r)$.*

Proof. Let V_i be the vertices of G that are contained in the bags of T_i . Note that B cannot be the root of a scrub \mathcal{S}_j found by the algorithm in Step 2, otherwise V_1, \dots, V_p would be in some scrub $\mathcal{S}_1, \dots, \mathcal{S}_j$. If $B \subseteq V(\mathcal{S}_1) \cup \dots \cup V(\mathcal{S}_l)$, then all V_i must be contained in some scrub \mathcal{S}_j . Therefore $B' = B \setminus \bigcup_i V(\mathcal{S}_i)$ cannot be empty if $p > 0$. But then $(B, \{V_1, \dots, V_p\})$ is a scrub in $G - (X \cup V(\mathcal{M}))$ not chosen by the algorithm in Step 2. Since the algorithm chooses scrubs of size at least $\varpi(t+r)$, this implies that $|B \cup V_1 \cup \dots \cup V_p \setminus \bigcup_i V(\mathcal{S}_i)| \leq \varpi(t+r)$. ■

We next show that the total number of vertices in the trees in $\mathcal{T}_{\text{small}}$ is linear in k .

Lemma 7. *The total number of vertices in the bags in $T \in \mathcal{T}_{\text{small}}$ that do not appear in the scrubs $\mathcal{S}_1, \dots, \mathcal{S}_l$ is at most $4\beta r^2 \varpi(2t+r)k$.*

Proof. By Lemma 3, at most two neighboring bags of a tree $T \in \mathcal{T}_{\text{small}}$ are marked. Therefore for each $T \in \mathcal{T}_{\text{small}}$, the number of vertices in the bags of T is at most $\varpi(2t+r)$, as the subgraph $G[T]$ has a separator of size at most $2t+r$. Moreover the number of trees in $\mathcal{T}_{\text{small}}$ that have exactly *two* marked bags as neighbors is bounded by the number of marked bags (we can simply associate each such tree with one marked bag in the forest F). We therefore have to bound the number of trees that have exactly one marked bag as neighbor. By Lemma 6, the total number of vertices in trees of $\mathcal{T}_{\text{small}}$ that are adjacent to exactly one marked bag B is at most $\varpi(t+r)$. Since the number of marked bags is at most $2\beta r^2 k$, the total number of vertices in bags of $T \in \mathcal{T}_{\text{small}}$ is at most $2\beta r^2 (\varpi(2t+r) + \varpi(t+r))k$ which is at most $4\beta r^2 \varpi(2t+r)k$. ■

All that now remains is to show that the vertices in trees of $\mathcal{T}_{\text{large}}$ that have not been accounted for thus far is linear in k .

Observation 1 *Let \mathcal{T} be a tree-decomposition of a connected graph G . Let B be some bag of \mathcal{T} and let B_1, \dots, B_p be some of its neighbors. Let T_1, \dots, T_p be the subtrees of \mathcal{T} rooted at B_1, \dots, B_p , respectively, and let V_1, \dots, V_p be the vertices of G that occur in the bags of these subtrees. Then the graph $G[B \cup V_1 \cup \dots \cup V_p]$ has at most $|B|$ connected components.*

Similar to Lemma 6, we have the following:

Lemma 8. *Let B be an unmarked bag in the forest-decomposition \mathcal{F} and let T_1, \dots, T_p be the unmarked subtrees that are rooted at the neighbors of B in \mathcal{F} such that $D_X(T_i) < r$ for $1 \leq i \leq p$; let V_1, \dots, V_p be the vertices of G that appear in the bags of T_1, \dots, T_p , respectively. Then the number of unmarked vertices in $W = B \cup V_1 \cup \dots \cup V_p$ is bounded from above by $t\varpi(t+r)$.*

Proof. If $D_X(W) < r$, then as in Lemma 2, we have $|W| \leq \varpi(t+r)$. Therefore assume that $D_X(W) \geq r$ and $|W| \geq \varpi(t+r)$. Since B was not marked in Step 2, for all $C \subseteq W \setminus V(\mathcal{M})$ that induce connected components in $G - X$, it holds that $|C| \leq \varpi(t+r)$. By Observation 1, $G[W]$ can have at most t connected components and hence the claimed bound follows. ■

Definition 9 (Central Path). Let $T \in \mathcal{T}_{\text{large}}$ be adjacent to two marked bags B_i, B_j . The central path of T is the unique path from bag B_i to bag B_j in T . If T is adjacent to only one marked bag B_i , the central path is defined as a path P from B_i to a leaf of T such that $D_X(G[P])$ is maximized.

Lemma 9. If $T \in \mathcal{T}_{\text{large}}$ then $G[T]$ has a path decomposition of width at most $t(\varpi(t+r) + 1)$.

Proof. Let P be the central path of T . Construct a path-decomposition of $G[T]$ as follows. Take all bags in the path P and, for each join bag B on this path, add in the vertices of all bags connected to B that are not part of P . As each such join bag is unmarked, by Lemma 8, the size of such a bag increases by at most $t\varpi(t+r)$. As the size of each bag of P is bounded by t , the above bound follows. ■

We next show that if $T \in \mathcal{T}_{\text{large}}$ and if the subgraph $G[T]$ induced by the vertices in the bags of T is large, then we can decompose it into *connected subgraphs* G' of constant size such that $D_X(G') \geq r$. Lemma 1 assures us that there can be at most $O(k)$ such connected subgraphs. Together this would imply a linear bound on the total number of vertices in $\mathcal{T}_{\text{large}}$.

To state this “decomposition lemma,” we introduce additional notation and terminology. Given a path decomposition $\mathcal{P} = (P, \mathcal{X})$ of a graph G and two bags $X, Y \in \mathcal{X}$, let $G(X, Y)$ denote the graph induced by the vertices in the bags that appear between X and Y in \mathcal{P} excluding the vertices in X and Y . That is, if \mathcal{B} denotes the set of bags in the path P starting with X and ending with Y , then

$$G(X, Y) = G \left[\bigcup_{B \in \mathcal{B}} B \setminus (X \cup Y) \right].$$

The first and last bag of \mathcal{P} are called its *end-bags*. Given a bag $Z \in \mathcal{X}$, we say that $G(X, Y)$ is connected to Z if it either includes a vertex from Z or is adjacent to a vertex in Z . Let T be a tree-decomposition of a graph G and let A, B be bags occurring in T . For a subtree $T' \subseteq T$, we say that $G[T']$ has an AB -path (or, a path from A to B) if there exists a uv -path in $G[T']$ where $u \in A$ and $v \in B$. This trivially holds if $A \cap B \neq \emptyset$ and $G[T']$ contains a vertex of $A \cap B$. In the following lemma, we write $f(r, t)$ for the expression $(3t\varpi(t+r) + t) \cdot \varpi(2t+r) + t(\varpi(t+r) + 1)$ as a shorthand.

Lemma 10 (The Cutting Up Lemma). Let $T \in \mathcal{T}_{\text{large}}$ and let $\mathcal{P} = (P, \mathcal{X})$ be a path-decomposition of $G[T]$ with A and B as its end-bags. If $G[T]$ has an AB -path then either it has at most $f(r, t)$ vertices or there exists a bag $Z \in \mathcal{X}$ such that the following hold:

1. $G(A, Z)$ has at most $f(r, t)$ vertices and contains a connected component C with $D_X(C) \geq r$;
2. either $D_X(G(Z, B)) \geq r$ or $|G(Z, B)| \leq \varpi(2t + r)$.

Proof. Let p be the width of the path-decomposition \mathcal{P} . By Lemma 9, this is at most $t(\varpi(t + r) + 1)$. We first show that for any bag $Z \neq A$ in the decomposition \mathcal{P} , the graph $G(A, Z)$ contains at most $p + 2t\varpi(t + r)$ connected components. Note that each connected component of $G(A, Z)$ is connected to either A or Z . If this were not the case, then the tree-decomposition in \mathcal{F} of which T is a subtree would contain more than one connected component of $G - X$. This is a contradiction since we assume that each tree in the forest \mathcal{F} represents a connected component.

The number of connected components of $G(A, Z)$ connected to *both* A and Z is bounded by the width p of the decomposition. To see this, simply observe that the graph $\tilde{G}(A, Z)$ obtained from $G(A, Z)$ by adding edges such that both A and Z induce cliques also has pathwidth at most p . If the number of connected components in $G(A, Z)$ connected to both A and Z were at least $p + 1$ then at least $p + 2$ cops would be required to catch a robber in $\tilde{G}(A, Z)$, contradicting the fact that it has pathwidth p . The number of components connected exactly to one of A or Z is, by Lemma 8, at most $p + 2t\varpi(t + r)$.

Imagine walking along the bags of the decomposition \mathcal{P} starting at A and suppose Z is the first bag such that $|G(A, Z)| \geq (p + 2t\varpi(t + r)) \cdot \varpi(2t + r)$. Then $G(A, Z)$ contains a connected component C with at least $\varpi(2t + r)$ vertices. Since our instance is reduced, it must be that $D_X(C) \geq r$. Let Z' be the bag immediately before Z . Then

$$|G(A, Z)| \leq |G(A, Z')| + |Z| \leq (p + 2t\varpi(t + r)) \cdot \varpi(2t + r) + p,$$

and since $p \leq t(\varpi(t + r) + 1)$, an easy calculation shows that $|G(A, Z)| \leq f(r, t)$ proving claim (1) of the lemma. Claim (2) is easier to show. For if $D_X(G(A, Z)) < r$, then $G(Z, B)$ has a separator of size at most $2t + r$ and, since the graph is reduced, has at most $\varpi(2t + r)$ vertices. ■

Finally, we can bound the number of vertices occurring in the bags of trees in $\mathcal{T}_{\text{large}}$.

Lemma 11. *The total number of vertices in bags of all trees $T \in \mathcal{T}_{\text{large}}$ is $O(k)$.*

Proof. If $T \in \mathcal{T}_{\text{large}}$ has at least $f(r, t)$ vertices then using Lemma 10, we can decompose $G[T]$ iteratively into connected components G' of size at most $f(r, t)$ with $D_X(G') \geq r$. By Lemma 1, the total number of connected components is at most $\beta r^2 k / 2$. Finally by Lemma 10 the number of vertices in all the bags of trees in $\mathcal{T}_{\text{large}}$ is at most $\beta r^2 k / 2 \cdot (f(r, t) + \varpi(2t + r))$, which is at most $6\beta r^2 t \varpi(2t + r)^2 k$. ■

We are now ready to prove the Main Theorem.

The Main Theorem. *Fix a graph H . Let Π be a parameterized graph-theoretic problem on the class of H -topological-minor-free graphs that has finite integer index and is treewidth-bounding. Then Π admits a linear kernel.*

Proof. Let (G, k) be a yes-instance of Π that has been reduced w.r.t. the Protrusion Reduction Rule. Using Lemmas 2, 4, 5, 7, and 11 we see that $|V(G)| = O(k)$. ■

This result immediately extends to graphs of bounded degree, as graphs of maximum degree d cannot contain K_{d+1} as a topological minor.

Corollary 1. *Let Π be a parameterized graph-theoretic problem that has finite integer index and is treewidth-bounding, both on the class of graphs of maximum degree d . Then Π admits a linear kernel.*

4 Implications of the Main Theorem

Some concrete problems that fall under this definition are the following.

Corollary 2. *Fix a graph H . The following problems are treewidth-bounding and have finite integer index on the class of H -topological-minor-free graphs and hence admit a linear kernel on this graph class. VERTEX COVER;² CLUSTER VERTEX DELETION;² FEEDBACK VERTEX SET; CHORDAL VERTEX DELETION; INTERVAL and PROPER INTERVAL VERTEX DELETION; COGRAPH VERTEX DELETION; EDGE DOMINATING SET.*

This also implies that, by a simple brute force on the kernelized instance, CHORDAL VERTEX DELETION and INTERVAL VERTEX DELETION are solvable in $O(c^k \text{poly}(n))$ time for some constant c . On general graphs only a $f(k) \text{poly}(n)$ algorithm is known [18].

As an example of a concrete class of problems that satisfy the Main Theorem, consider a hereditary property \mathcal{P} whose forbidden set contains all holes. Any graph that satisfies \mathcal{P} must necessarily be chordal. The \mathcal{P} -VERTEX DELETION problem is, given a graph G and an integer k , to decide whether there exists at most k vertices whose deletion results in a graph satisfying \mathcal{P} . It is easy to show that \mathcal{P} -VERTEX DELETION is both treewidth-bounding and strongly monotone (and hence has finite integer index) on H -topological-minor-free graphs and therefore admits a linear kernel on such a graph class.

A natural extension of the problems in Corollary 2 is to ask for a *connected* solution. In many cases, however, the connected version of a problem is not strongly monotone and probably does not have finite integer index. For the following problems, however, strong monotonicity can be shown easily as any solution contains vertices at a constant distance from the boundary.

Corollary 3. *CONNECTED VERTEX COVER, CONNECTED COGRAPH VERTEX DELETION and CONNECTED CLUSTER VERTEX DELETION have linear kernels in graphs excluding a fixed topological minor.*

An interesting property of H -topological-minor-free graphs is that the usual width measure are essentially the same.

² Listed for completeness; these problems have a linear kernel on general graphs.

Property 3 ([11]) *There is a constant³ τ such that for every $r > 2$, if G is a graph excluding K_r as a topological minor, then*

$$\begin{aligned} \mathbf{rw}(G) &\leq \mathbf{cw}(G) < 2 \cdot 2^{\tau r \log r} \mathbf{rw}(G) \\ \mathbf{rw}(G) &\leq \mathbf{tw}(G) + 1 < \frac{3}{4}(r^2 + 4r - 5)2^{\tau r \log r} \mathbf{rw}(G) \end{aligned}$$

This entails the following Corollary of the main result.

Corollary 4. *The problem of deleting k vertices such that the remaining graph has bounded clique-, tree- or branchwidth has a linear kernel in graphs excluding a fixed topological minor.*

Finally, we can relate our result to bidimensionality in some natural cases. Consider a vertex-deletion problem \mathcal{P} -VERTEX DELETION for some arbitrary graph property \mathcal{P} . Then our result entails the following.

Corollary 5. *If \mathcal{P} -VERTEX DELETION has finite integer index and is bidimensional, then it has a linear kernel on graphs excluding a fixed topological minor.*

Proof. Let (G, k) be a yes-instance with solution set $X \subseteq V(G)$. Then $G - X \in \mathcal{P}$, which entails that, for some constant c depending only on \mathcal{P} , $G - X$ does not contain a $c \times c$ -grid as a minor. Otherwise the solution of $G - X$ would be nonempty: if we could contract $G - X$ into a grid that itself is not in \mathcal{P} , i.e. we need to delete at least one vertex from it to obtain a graph that has the property \mathcal{P} , this would contradict the assumption that the problem is bidimensional. Therefore \mathcal{P} -VERTEX DELETION is treewidth-bounding and the above follows. ■

5 Conclusion and Open Questions

We have shown that one can obtain linear kernels for a range of problems on graphs excluding a fixed topological minor. This partially extends the results by Bodlaender et al. on graphs of bounded genus [2] and by Fomin et al. on graphs excluding a fixed minor [9].

Two main questions arise: (1) can similar results be obtained for an even larger class of (sparse) graphs and (2) what other problems have linear kernels on H -topological-minor free graphs. In particular, does DOMINATING SET have a linear kernel on graphs excluding a fixed topological minor? It would also be interesting to investigate how the structure theorem by Grohe and Marx can be used in this context [12].

³ This is the same constant τ as used in Proposition 2

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